



COPY OF PAPERS  
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PATENT APPLICATION  
Docket No.: 061408.3002-100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

James J. Henschel, Ronald Cooper and Michael Noonan

Application No.: 10/099,862

Group Art: 2874

Filed: March 15, 2002

Examiner: Not assigned

For: Optical Fiber Enclosure System Using Integrated Optical Connector and Coupler Assembly

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231	
on <u>June 11, 2002</u>	<u>Mary P. McDermott</u>
Date	Signature
<u>Mary P. McDermott</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated April 15, 2002, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- ☒ **EXECUTED DECLARATION/POWER OF ATTORNEY**  
(Separate transmittal letter and postcard not required)
- ☒ **FILING FEE** – with Fee Transmittal for Patent Applications in duplicate.  
(Separate transmittal letter and postcard not required)
- ☒ **SURCHARGE** – surcharge fee of \$130 (non-small entity).  
(Separate transmittal letter and postcard not required)
- ☐ **SEQUENCE LISTING** – Filed concurrently and is attached.  
(Separate transmittal letter and postcard not required)
- ☒ **TRANSMITTAL OF SUBSTITUTE DRAWINGS**  
[33] Sheets of substitute drawings consisting of Figs. 1-6, 7A-7B, 8A-8F, 9-15, 16A-16B, 17A-17B, 18-36, 37A-37B, 38A-38B, 39A-39B, 40A-40B, 41A-41B, 42-50, 51A-51B, 52A-52B, and 53-54 are enclosed.  
(Separate transmittal letter and postcard not required)

☐ **PETITION FOR EXTENSION OF TIME**

- ☐ Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated \* for \* month(s) from \* to \*.

The appropriate fee of \$\* is included in the enclosed check.

- ☐ A \* months extension of time to respond to the Notice to File Missing Parts dated \* was filed on \* with payment of a \$\* fee.

- ☐ Applicant hereby petitions for an additional month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$\* is included in the enclosed check.

*(Separate transmittal letter and postcard not required)*

- ☐ **REQUEST FOR CORRECTED FILING RECEIPT** – Filed concurrently and is attached.

*(Separate transmittal letter and postcard not required)*

- ☐ **STATEMENT CLAIMING SMALL ENTITY STATUS**

- ☐ Was filed on\*.

- ☐ Is enclosed herewith.

*(Separate transmittal letter and postcard not required)*

- ☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$\* which were paid on \* to be deposited in Deposit Account No.50-1935.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1,488.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 50-1935. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

By Monica Grewal

Monica Grewal

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Framingham, Massachusetts 01701

Date: June 11, 2002

JUN 20 2002

WDITCH &amp; DEWEY, LLP

FEE TRANSMITTAL FOR  
PATENT APPLICATIONS

Attorney Docket Number	061408.3002-100
Application Number	10/099,862
First Named Inventor	James J. Henschel

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## CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FIELD	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(b) or (j))	*31- 20* =	*11	11 x \$ 18 =	\$198.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))	*8- 3** =	*5	5 x \$ 84 =	\$420.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$740
				Total of above Calculations =	\$1,358.00
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 1,358.00
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$ 0
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$1,488.00

## 1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired
- c. ☐ is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 50-1935 for any fees required under 37 CFR §1.16 and §1.17 in order to maintain pendency of this application.  
A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$1,488.00. ☐ Please charge \$[ ] to Deposit Account No.

4. ☐ Other: \_\_\_\_\_

Signature	<i>Monica Grewal</i>	Date	<i>June 11, 2002</i>
Submitted by Typed or Printed Name	Monica Grewal	Reg. Number	40,056



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,862	03/15/2002	James J. Henschel	061408.3002-100

CONFIRMATION NO. 4474

FORMALITIES LETTER



\*OC000000007865474\*

THOMAS O. HOOVER, ESQ.  
BOWDITCH & DEWEY, LLP  
161 Worcester Road  
P.O. Box 9320  
Framingham, MA 01701-9320

Date Mailed: 04/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/26/2002 BABRAHA1 00000042 10099862

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	198.00 OP
04 FC:102	420.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$618.
  - \$198 for 11 total claims over 20.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1488.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*Pazana*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

200230-29866001